

Psychiatric diagnosis and treatment in the 21st century: paradigm shifts versus incremental integration

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Psychiatry has always been characterized by a range of different models of and approaches to mental disorder, which have sometimes brought progress in clinical practice, but have often also been accompanied by critique from within and without the field. Psychiatric nosology has been a particular focus of debate in recent decades; successive editions of the DSM and ICD have strongly influenced both psychiatric practice and research, but have also led to assertions that psychiatry is in crisis, and to advocacy for entirely new paradigms for diagnosis and assessment. When thinking about etiology, many researchers currently refer to a biopsychosocial model, but this approach has received significant critique, being considered by some observers overly eclectic and vague. Despite the development of a range of evidence-based pharmacotherapies and psychotherapies, current evidence points to both a treatment gap and a research-practice gap in mental health. In this paper, after considering current clinical practice, we discuss some proposed novel perspectives that have recently achieved particular prominence and may significantly impact psychiatric practice and research in the future: clinical neuroscience and personalized pharmacotherapy; novel statistical approaches to psychiatric nosology, assessment and research; deinstitutionalization and community mental health care; the scale-up of evidence-based psychotherapy; digital phenotyping and digital therapies; and global mental health and task-sharing approaches. We consider the extent to which proposed transitions from current practices to novel approaches reflect hype or hope. Our review indicates that each of the novel perspectives contributes important insights that allow hope for the future, but also that each provides only a partial view, and that any promise of a paradigm shift for the field is not well grounded. We conclude that there have been crucial advances in psychiatric diagnosis and treatment in recent decades; that, despite this important progress, there is considerable need for further improvements in assessment and intervention; and that such improvements will likely not be achieved by any specific paradigm shifts in psychiatric practice and research, but rather by incremental progress and iterative integration.

Key words: Mental disorder, psychiatric nosology, clinical neuroscience, personalized psychiatry, Research Domain Criteria, Hierarchical Taxonomy of Psychopathology, deinstitutionalization, community mental health care, evidence-based psychotherapy, digital phenotyping, digital therapies, global mental health, task-sharing approaches, paradigm shifts, incremental integration

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Psychiatry has over the course of its history been characterized by a range of different models of and approaches to mental disorder, each perhaps bringing forward some advances in science and in services, but at the same time also accompanied by considerable critique from within and without the field.

The shift away from psychoanalysis in the latter part of the 20th century was accompanied by key scientific and clinical advances, including the introduction of a wide range of evidence-based pharmacotherapies and psychotherapies for the treatment of mental disorders. However, there has also been an extensive critique of pharmacological and cognitive-behavioral interventions, whether focused on concerns about their “medical model” foundations, or emphasizing the need to build community psychiatry and to scale up these treatments globally¹.

In the 21st century, global mental health

has become an influential novel perspective on mental disorders and their treatment. This emergent discipline builds on advances in cross-cultural psychiatry, psychiatric epidemiology, implementation science, and the human rights movement². Global mental health has given impetus to a wide range of mental health research as well as to clinical strategies such as task-shifting, with evidence that these are effective in diverse contexts and may be suitable for roll-out at scale³. It is noteworthy, however, that global mental health has in turn been critiqued for inappropriate and imperial exportation of Western constructs to the global South⁴.

Psychiatric nosology has been a particular focus of both advances in and critique from the field. The 3rd edition of the Diagnostic and Statistical Manual of Mental Disorders (DSM-III) was paramount, providing an approach that attempted to eschew different models of etiology, focusing instead on reliable diagnostic constructs⁵. These

constructs became widely used in epidemiological studies of mental illness, in psychiatric research on etiology and treatment, as well as in daily clinical practice throughout the world. The most recent editions of the DSM (DSM-5) and of the International Classification of Diseases (ICD-11) by the World Health Organization (WHO) have drawn on and given impetus to a considerable body of work in nosological science^{6,7}.

Early on, psychoanalytic psychiatry criticized DSM diagnostic constructs for missing core psychic phenomena. With increasing concerns that these constructs have insufficient validity, neuroscientifically informed psychiatry has put forward approaches to assessing behavioral phenomena that emphasize laboratory models⁸. Despite the growing body of nosology science instantiated by the DSM-5 and ICD-11, many have argued for new paradigms of classification and assessment – e.g., the Research Domain Criteria (RDoC), the

Hierarchical Taxonomy of Psychopathology (HiTOP) and other novel statistical approaches, and digital phenotyping.

Where do things stand currently with regard to psychiatry's models of and approaches to mental disorder? What are current clinical practices? What novel perspectives are being proposed, and what is the evidence base for them? To what extent will newly introduced models of clinical intervention, such as shared decision-making or transdiagnostic psychotherapies, and novel approaches in psychiatric research, such as the use of "big data" in neurobiological research and treatment outcome prediction, have transformative impact for clinical practice in the foreseeable future?

In this paper we discuss proposed shifts to clinical neuroscience and personalized pharmacotherapy, innovative statistical approaches to psychiatric nosology and assessment, deinstitutionalization and community mental health care, the scale-up of evidence-based psychotherapy, digital phenotyping and digital therapies, and global mental health and task-sharing approaches. We chose these novel perspectives because they have achieved particular prominence recently, and because many have argued that they will significantly impact psychiatric practice and research in the future.

We consider the extent to which proposed transitions from current practices to these novel perspectives reflect hype or hope, and whether they represent paradigm shifts or iterative progress in psychiatric research and practice. Although the contrast between hype and hope is itself likely oversimplistic, with many newly proposed models and approaches in psychiatry representing neither of these polar extremes, our point of departure is that false promises of paradigm shifts in health care may entail significant costs, while hope may justifiably be considered an important virtue for health professions⁹. We begin with a brief consideration of current models and approaches in psychiatric practice.

CURRENT MODELS AND APPROACHES IN PSYCHIATRY

Current practice in psychiatry varies in different parts of the world, but there are

some important universalities. The duration and depth of training in psychiatry during the undergraduate and postgraduate years also differ across countries, but typically a general training in medicine and surgery is followed by specialized training in psychiatry, with exposure to both inpatient and outpatient settings. Globally, inpatient psychiatry focuses predominantly (but not exclusively) on severe mental disorders such as schizophrenia and bipolar disorder, while outpatient psychiatry focuses predominantly (but again not exclusively) on common mental disorders such as depression, anxiety disorders, and substance use disorders. In inpatient settings, psychiatrists are often leaders of a multidisciplinary team, with the extent and depth of this multidisciplinary dependent on local resources. There are differences in subspecialization across the globe, but in many countries recognized sub-specialties include child and adolescent psychiatry, geriatric psychiatry, and forensic psychiatry¹⁰.

A particularly important shift in the 20th century has been the process of deinstitutionalization, particularly in high-income countries. Thus, there has been a decrease of bed numbers in specialized psychiatric hospitals, but an increase of these numbers in general medical hospitals, with variable strengthening of community services. It has been argued that, when it comes to mental health services, all countries are "developing", since there is a relative underfunding of such services in relation to the burden of disease¹.

Currently, the two major classification systems in psychiatry are the DSM-5 and the ICD-11. The DSM system is more commonly used by researchers, while the ICD is a legally mandated health data standard. The operational criteria and diagnostic guidelines included in the DSM-III, the ICD-10, and subsequent editions of the manuals have exerted considerable influence on modern psychiatry. They not only increase reliability of diagnosis, but also have clinical utility, since they provide clinicians with an approach to conceptualizing disorders and to communicating about them^{11,12}. They have also played a key role in research, ranging from studies of the neurobiology of mental disorders, through to studies of interventions for particular

conditions, and on to clinical and community epidemiological surveys.

However, there has also been considerable critique of the reliance of modern psychiatry on the DSM and the ICD. The notion that psychiatric diagnosis is itself "in crisis" has come both from within the field and from external critics. Two somewhat contradictory critiques have been that in daily practice the DSM and ICD criteria or guidelines are seldom applied formally by clinicians, and that over-reliance on those criteria or guidelines leads to a checklist approach to assessment that ignores relevant symptoms and important contextual issues falling outside the focus of the nosologies. Additional key critiques have been that psychiatric diagnoses lack scientific validity, and that current nosologies are biased by influences such as that of the pharmaceutical industry^{13,14}.

When thinking about etiology, many clinicians and researchers currently default to a biopsychosocial model acknowledging that a broad range of risk and protective factors are involved in the development and perpetuation of mental disorders. This model was introduced by G. Engel in an attempt to move from a reductionistic biomedical approach to include also psychological and social dimensions¹⁵. The model has important strengths insofar as it takes a systems-based approach that considers a broad range of variables influencing disease onset and course, and attends to both the relevant biomedical disease and the patient's experience of illness¹⁶.

Nevertheless, the biopsychosocial approach has received significant critique. In particular, it has been argued that the biomedical model critiqued by Engel is a straw man, and that the biopsychosocial approach is overly eclectic and vague. By saying that all mental disorders have biological, psychological and social contributory factors, we are unable to be specific about any particular condition, and to target treatments accordingly^{17,18}. While there are few data available on how rigorously psychiatrists consider the range of risk and protective factors in clinical work, a review of the research literature indicates ongoing work on multiple "difference-makers", distributed across a wide range of categories¹⁹.

Psychiatrists are trained to provide a range of both pharmacological and psychological interventions. However, data from psychiatric practice networks and from epidemiological surveys indicate that there has been a growing emphasis on pharmacotherapy interventions²⁰, albeit with some exceptions²¹. Furthermore, the number of psychiatrists varies considerably from country to country, and from region to region within any particular country²². While primary care practitioners are also trained to deliver mental health treatments, and indeed provide the bulk of prescriptions for mental disorders in some regions, there is considerable evidence of underdiagnosis and undertreatment of such conditions in primary care settings.

Indeed, despite the development of a range of evidence-based pharmacotherapies and psychotherapies in the last several decades, current data point to both a treatment gap and a research-practice gap in mental health. The treatment gap refers to findings that, across the globe, many individuals with mental disorders do not have access to mental health care²³. The research-practice gap, also known as the “science-practice” or “evidence-practice gap”, refers to differences between treatments delivered in standard care and those supported by scientific evidence²⁴. In particular, clinical practitioners have been criticized for employing an eclectic approach to choosing interventions, for not sufficiently adhering to evidence-based clinical guidelines, and for not employing measurement-based care.

The treatment gap and the research-practice gap are of deep concern, given evidence of underdiagnosis and undertreatment, of misdiagnosis and inappropriate treatment, and of inadequate quality of treatment^{25,26}. There are, however, some justifiable reasons for a gap between practice and research, including that the evidence base is relatively sparse for the management of treatment-refractory and comorbid conditions, the relative lack of pragmatic “real-world” research trials in psychiatry, and the possibly modest positive impact of guideline implementation on patient outcomes^{27,28}. Indeed, several scholars have emphasized that including clinical experience and addressing patient

values are key components of appropriate decision-making^{27,29}.

Considerably more research is needed to inform our knowledge of current psychiatric practice and its outcomes. Data from psychiatric practice networks have been useful in providing fine-grained information in some settings, but much further work is warranted along these lines³⁰. Data from randomized controlled trials indicate that psychiatric treatments are as effective as those in other areas of health care, but further evidence should be acquired using pragmatic designs in real-world contexts³¹. Epidemiological data from across the globe suggest that individuals with mental disorders who received specialized, multi-sector care are more likely than other patients to report being helped “a lot”, but there is an ongoing need for more accurate estimates of effective treatment coverage globally³².

In the interim, evidence of the treatment gap and the research-practice gap in current mental health services has given impetus to the development of a number of novel diagnostic and treatment models and approaches, ranging from clinical neuroscience through to global mental health. Some of these models and approaches have achieved particular prominence in recent times, with proponents arguing that they will significantly impact psychiatric practice and research in the future. At times advocates for these perspectives and proposals have limited aims, while at other times they speak of paradigm shifts that will drastically alter or wholly reshape current clinical practices³³⁻³⁶. We next consider a number of these perspectives and proposals in turn.

CLINICAL NEUROSCIENCE AND PERSONALIZED PHARMACOTHERAPY

A key shift in 20th century psychiatry, at least in some parts of the world, was from psychoanalytic to biological psychiatry. The serendipitous discovery of a range of psychiatric medications in the mid-20th century, and advances in molecular, genetic and neuroimaging methods, propelled this shift. More recently, terms such

as clinical neuroscience, translational psychiatry, precision psychiatry, and personalized psychiatry have emerged, helping to articulate the conceptual foundations for a proposed psychiatric perspective aiming to replace or significantly augment current practice³⁷⁻³⁹.

The proposed paradigm of clinical neuroscience rests in part on a critique of current standard approaches. First, in terms of diagnosis, it has been argued that the DSM and ICD constructs are not sufficiently based on neuroscience⁴⁰. Thus, for example, particular symptoms, which may involve quite specific neurobiological mechanisms, may be present across different diagnoses. Conversely, research findings demonstrate that there is considerable overlap of genetic architecture across different DSM and ICD mental disorders⁴¹. If current diagnostic constructs are not natural kinds, then arguably attempts to find specific biomarkers and develop targeted treatments for them are doomed to fail^{42,43}.

The proposed new paradigm views psychiatry as a clinical neuroscience, which should rest on a firm foundation of neurobiological knowledge⁴⁴. With advances in neurobiology, we will be better able to target relevant mechanisms and develop specific treatments for mental disorders. Neuroimaging and genomic research offer opportunities for personalizing psychiatric intervention: those with specific genetic variants may require tailoring of psychopharmacological intervention, while particular alterations in neural signatures may be used to choose a therapeutic modality or to alter parameters for neurostimulation.

The RDoC project, developed by the US National Institute of Mental Health (NIMH), has provided an influential conceptual framework for this proposed new paradigm⁸. Whereas the DSM-III relied on the Research Diagnostic Criteria (RDC) in order to operationalize mental disorders, the RDoC project emphasizes domains of functioning that are underpinned by specific neurobiological mechanisms. Disruptions in these domains may lead to various symptoms and impairments. Domains of functioning are found across species, and their neurobiological substrates are suffi-

ciently known to allow translational neuroscience, or productive movement from bench to bedside and back. Each domain of functioning can be assessed with specific laboratory paradigms.

The RDoC matrix initially included five domains of functioning and several “units of analysis” for assessing these domains (see Figure 1)⁴⁵. Each domain in turn comprises a number of different “constructs” (or rows of the matrix): these were included on the basis of evidence that they entail a validated behavioral function, and that a neural circuit or system implements the function. Different “units of analysis” (or columns of the matrix) can be used to assess each construct: the center column refers to brain circuitry, with three columns to the left focusing on the genes, molecules and cells that comprise circuits, and three columns to the right focusing on circuit outputs (behavior, physiological responses, and verbal reports). A column to list paradigms is also included.

The RDoC matrix is intended to include two further critical dimensions for integrat-

ing neuroscience and psychopathology, i.e. developmental trajectories and environmental effects⁴⁵. Thus, from an RDoC perspective, many mental illnesses can be viewed as neurodevelopmental disorders, with maturation of the nervous system interacting with a range of external influences from the time of conception. Several key “pillars” of the RDoC framework, including its translational and dimensional focuses⁸, have been emphasized.

Anxiety, for example, can be studied in laboratory paradigms, and ranges from normal responses to threat through to pathological conditions. Indeed, a clinical neuroscience approach has contributed to the reconceptualization of several anxiety and related disorders⁴⁶⁻⁴⁸ and to the introduction of novel therapeutic approaches for these conditions⁴⁹. Further, work on stressors has usefully emphasized that environmental exposures become biologically embedded, with early adversity associated to alterations in both body and brain that occur irrespective of the DSM diagnostic category^{50,51}.

The NIMH has linked the RDoC to fund-

ing applications, and this framework has given impetus to a range of clinical neuroscience research. Translational research will certainly advance our empirical knowledge of the neurobiology of behavior and of psychopathology. The RDoC has also prompted conceptual work related to the neurobiology of mental disorders, and the development of measures and methods. Indeed, to the extent that constructs in the RDoC matrix have validity as behavioral functions, and map onto specific biological systems such as brain circuits, the project summarizes key advances in the field, and provides useful guidance for ongoing research.

At the same time, it is relevant to note important limitations of the RDoC approach. First, the RDoC seems less an entirely new paradigm than a re-articulation of existing ideas in biological psychiatry. Certainly, the importance of cross-diagnostic neurobiological investigations of domains of functioning has long been emphasized⁵². Second, the neurobiology of any particular RDoC construct, such as so-

DOMAINS/CONSTRUCTS	UNITS OF ANALYSIS							Paradigms
	Genes	Molecules	Cells	Circuits	Physiology	Behavior	Self-Reports	
Negative Valence Systems								
Acute threat ("fear")								
Potential threat ("anxiety")								
Sustained threat								
Loss								
Frustrative nonreward								
Positive Valence Systems								
Approach motivation								
Initial responsiveness to reward								
Sustained responsiveness to reward								
Reward learning								
Habit								
Cognitive Systems								
Attention								
Perception								
Working memory								
Declarative memory								
Language behavior								
Cognitive (effortful) control								
Systems for Social Processes								
Affiliation/attachment								
Social communication								
Perception/understanding of self								
Perception/understanding of others								
Arousal/Modulatory Systems								
Arousal								
Biological rhythms								
Sleep-wake								

Figure 1 The Research Domain Criteria matrix (from Cuthbert⁴⁵)

cial communication, may be enormously complex, so that alternative approaches to delineating the mechanisms involved in particular mental disorders may provide greater traction⁵³. Third, methods used to measure domains in the RDoC framework may not be readily available to clinicians. The further one moves from academic centers to the practice of psychiatry in primary care settings around the globe, the less relevant an RDoC framework may be to daily clinical work.

Personalized and precision psychiatry are important aspirations of clinical neuroscience. The notion that psychiatric interventions need to be rigorously tailored to each individual patient makes good sense, given the substantial inter-individual variability in the genome and exposome of those suffering from psychiatric disorders, as well as the considerable variation in response to current psychiatric interventions. With advances in genomic methods and findings, and the possibility that whole genome sequencing will become a standard clinical tool, with polygenic risk scores readily available, it is particularly relevant to consider the application of genomics to optimizing pharmacological and other treatments⁵⁴.

The Clinical Pharmacogenetic Implementation Consortium (CPIC) has already provided a range of clinical guidelines for drugs used in psychiatry. For example, a CPIC guideline recommends that, given the association between the *HLA-B*15:02* variant and Stevens-Johnson syndrome as well as toxic epidermal necrolysis after exposure to carbamazepine and oxcarbazepine, these drugs should be avoided in patients who are *HLA-B*15:02* positive and carbamazepine- or oxcarbazepine-naïve⁵⁵. The evidence base that pharmacogenomic testing improves outcomes is gradually beginning to accumulate, and recent guidelines have started to recommend a number of specific tests⁵⁶.

From an RDoC perspective, particular domains of functioning involve specific neural circuits, which are in turn modulated by a range of molecular pathways. One notable recent development in these fields has been a focus on “big data.” Large collaborations in basic and clinical sciences have been established, which provide

sufficient statistical power to advance the field in important ways.

Examples of such “big data” collaborations are the Enhancing Neuroimaging Meta-analysis Consortium (ENIGMA)⁵⁷, which includes tens of thousands of scans from across the world, and the Psychiatric Genetics Consortium (PGC)⁵⁸, which includes hundreds of thousands of DNA samples from across the globe. The work of ENIGMA and PGC has been at the cutting edge of scientific research in psychiatry, and has provided crucial insights into mental disorders. Certain biological pathways, such as immune and metabolic systems, appear to play a role across different mental disorders, and genomic methods have contributed to delineating causal and modifiable mechanisms underlying these conditions^{58,59}. At the same time, it must be acknowledged that to date few findings from this work have been successfully translated into daily clinical practice^{36,54,60}.

In summary, clinical neuroscience provides an important conceptual framework that may generate some useful clinical insights, and that may be particularly helpful in guiding clinical research. This framework has contributed to the reconceptualization of a number of mental disorders, and has on occasion contributed to the introduction of new therapies⁶¹. As clinical neuroscience generates new evidence, this may be incorporated in nosological systems in the future. There are already good arguments for including advances in this area in the curriculum of psychiatric training, and for updating clinicians on progress in the field⁶².

At the same time, there are currently few biomarkers with clinical utility in psychiatry, and methods such as functional neuroimaging and genome sequencing, which are key for future advances in frameworks such as the RDoC, are not readily available to or useful for practicing clinicians⁶³. The vast majority of clinical neuroscience publications appear to have little link to clinical practice. At best, therefore, we can expect that ongoing advances in clinical neuroscience will contribute to clinical practice via iterative advances in our conceptualization of mental disorders, and via the ongoing introduction of new insights and new

molecules that emerge from laboratory studies.

Indeed, the claim that any particular laboratory, neuroimaging or genetic finding will dramatically change clinical practice should raise a red flag. The neurobiology of behaviors and psychopathology is complex, reproducibility of findings is an ongoing important issue, and clinical neuroscience investigations only occasionally impact clinical practice⁶⁴. Indeed, we should be careful not to be over-optimistic about clinical neuroscience constituting a paradigm shift. Neurobiological research has not to date provided a rich pipeline of accurate biomarkers for mental disorders, nor speedily found new molecular entities that are efficacious for these conditions, and we cannot, for example, expect that the DSM and ICD will be replaced by the RDoC anytime soon.

NOVEL STATISTICAL APPROACHES TO PSYCHIATRIC NOSOLOGY, ASSESSMENT AND RESEARCH

Disease taxonomies are particularly complex, and may not be able to follow historical models of scientific taxonomies, which have defined all elements of a given set. An often-used example of the latter taxonomies is the periodic table of elements. Another venerable example is Linnaeus' *Systema Naturae* and the resulting nomenclature of biological species. The periodic table of elements has the simplicity of small numbers plus the hard and fast rules of chemistry, while the *Systema Naturae*, despite having to deal with an ever-expanding number of entities, is arguably based on direct observation of beings. In contrast, a disease taxonomy deals with thousands of unruly entities (versus 118 elements), which cannot be directly observed, apprehended or dissected (as animals or plants can).

Despite these challenges, disease taxonomies have sought to provide a shared, evidence-based, clinically meaningful, comprehensive classification that is informed by etiology and therapeutics. The notion that underneath the observable syndrome lies a causal entity, that we should investigate and treat, lies at the heart of the prac-

tice of medicine⁶⁵. Such “disease entities” have specific characteristics that make them clear and distinct from others (i.e., presentation, etiology, response to intervention), are transparent to the clinician, and are well-grounded in evidence.

Psychiatry has long faced the challenges of producing a causal nosology that is able to direct treatment⁶⁶. Pinel developed the first comprehensive nosology for people with severe mental disorders, along with moral treatment, the first therapeutic framework of the scientific era⁶⁷. Soon afterward, Kahlbaum, Kraepelin and Bleuler laid a firm groundwork for clinical psychiatry through close observation and systematic documentation of the natural history of severe mental illness. Arguably, Freud further advanced nosology and therapeutics by focusing on a different set of disorders (usually milder but much more prevalent), which he termed *neuroses* (to highlight their difference from *psychoses*), and by developing the concept and practice of psychotherapy. These frameworks gave impetus to subsequent advances in our understanding of and interventions for mental disorders.

Perceptions of insufficiently rapid and robust advances in treatments have led to criticism of current nosology⁶⁸. In particular, the DSM and ICD have been criticized for overly focusing on reliability at the expense of validity. In this view, schizophrenia and bipolar disorder may be genuine disease entities, but our syndromic definition lacks specificity, and there are likely different causal pathways that lead to clinically meaningful subtypes of these disorders. Major depressive disorder, on the other hand, is likely to be a hodgepodge of mood syndromes, some non-dysfunctional (i.e., non-disorders) or non-specific (i.e., combining depressive with anxiety symptoms), including only a few true but potentially diverse disease entities (e.g., melancholia, psychotic depression). And when it comes to, say, personality disorders, the disease-entity concept is even more distant, and the search for new approaches is seen as particularly key.

One such novel paradigm is the HiTOP. This proposes a hierarchical framework that, based on the observed covariation of dimensional traits, is able to identify latent

super-spectra and spectra (supra-syndromes), syndromes (our current disorders), and lower-level components^{69–72}. In this conceptual framework, a dimension consists of a continuous space in which an element occurs in differences of degree, but not of kind, between the normal and the pathological.

The HiTOP relies on factor analysis and related techniques, which tap into the covariation of observable traits to identify an unobserved, common factor that, once included in the model, explains the covariation⁷³. Costa and McCrae’s studies leading to the identification of five personality domains were a prime example of this approach. There is a common underlying reason that explains a person’s tendency to worry about many things, think that the future looks bleak, be bothered by intrusive thoughts, and be grouchy⁷⁴. That unobserved factor was conceptualized as “neuroticism”, and fully explains the covariation of these traits in any given individual. A similar approach to the study of childhood psychopathology led to the binary characterization of an “internalizing” and an “externalizing” dimension to childhood disorders⁷⁵.

The HiTOP paradigm seeks to leverage these well-established lines of research to develop a data-driven nosology that is free from the theory-driven dead weight built into current approaches. The key conceptual departure relies on the premise that, since evidence points towards psychopathological dimensions existing on a continuum, disorders should be similarly conceptualized, and nosology should move away from a focus on categorical entities. Instead of insisting on questionable boundaries, this approach proposes dimensional thresholds, which are empirically determined and do not involve any difference “in kind”. By grouping co-occurring symptoms within the same syndrome, and non-co-occurring symptoms separately, within-disorder heterogeneity is reduced. And by assigning overlapping syndromes to the same unobserved spectra, excess comorbidity found when using current categories is explained.

The resulting dimensional classification, the proponents of HiTOP argue, is consistent with evidence on risk factors,

biomarkers, course of illness, and treatment response⁶⁹. Figure 2 shows a schema of the proposed new nosology. An intriguing element of this approach is what has been termed “p”, or general psychopathology factor (at the top of Figure 2). In addition to super-spectra and spectra, factor analysis ultimately points towards the existence of a single latent trait that would explain all psychopathology, comparable to the well-established “g” factor for general intelligence^{76,77}.

If dimensional nosologies seek to overturn categorical ones, network analysis arguably aims to overturn both, insofar as it posits that the notion of an unobserved underlying construct is unwarranted, be it a categorical disease entity or a dimensional latent factor⁷⁸. The network approach to psychopathology holds that mental disorders can be conceived as “problems in living”, and are best understood at the level of what is observable. Rather than by latent entities, disordered states are fully explained by the interaction between signs and symptoms (the “nodes” of the networks). These interactions are themselves the causal elements (i.e., a symptom causes another symptom, then another symptom, and so on), and a disorder is simply an alternative “stable state” of strongly connected symptom networks (as opposed to the “normal” steady state of health).

A conceptualization of disorders as “problems in living” does away with the medical notion of a disease as an underlying causal entity. In this view, deficiencies in our understanding of etiology are not necessarily due to diagnostic limitations or insufficiently accurate models for the unobserved but, on the contrary, may be due to our lack of attention to the surface, i.e. the symptoms themselves, which go about reinforcing each other while we are distracted by peeking behind imaginary curtains.

Unlike dimensional approaches, proponents of network analysis disavow any nosological hierarchy (super-spectra, spectra, disorders, symptoms, etc.), and posit that there is only one level, that of symptoms, which can all cause and reinforce one another. Of note, network analysis posits that symptoms (or interacting nodes) can be activated by disturbances emerging from the “external field” (i.e., “external” to the

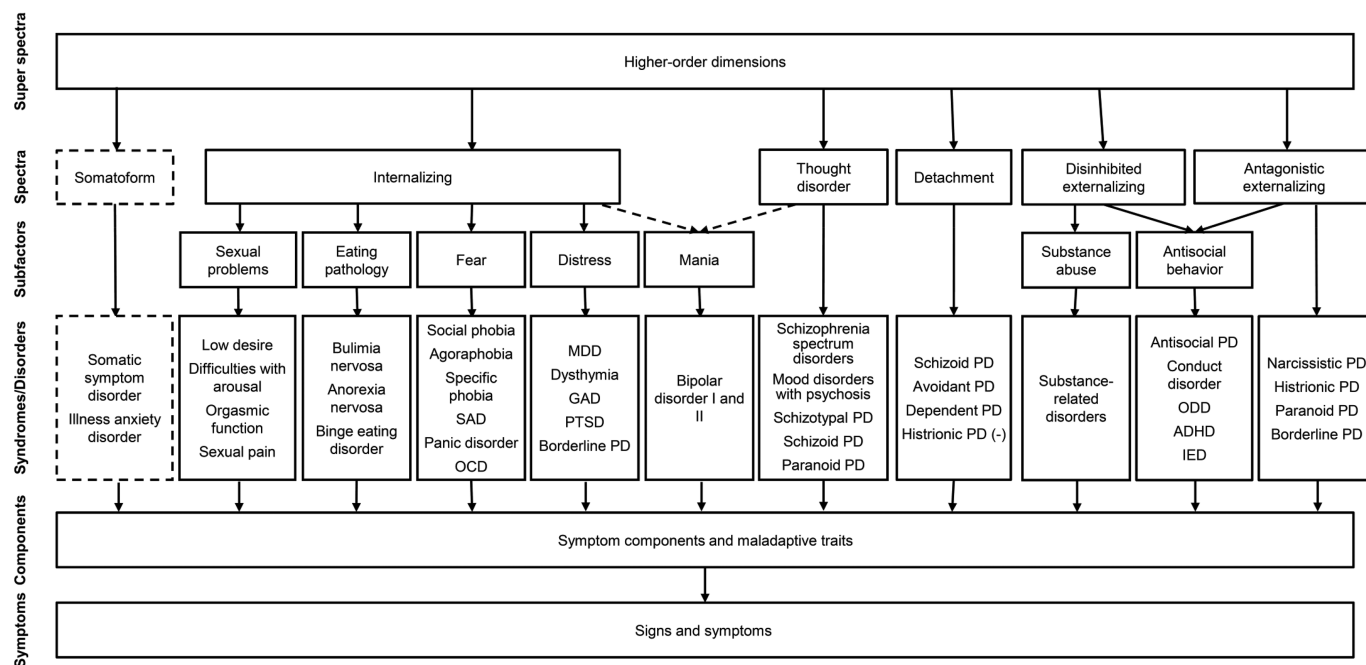


Figure 2 The Hierarchical Taxonomy of Psychopathology (HiTOP) model (from Krueger et al⁶⁹)

symptom network, not necessarily to the body or person), such as the loss of a loved one (which may activate the symptom depressed mood, setting in motion the depressive network) or a brain abnormality (which may activate the symptom hallucination, setting in motion the psychotic network).

Whether an individual develops a new strongly connected network of symptoms in the face of a stressor depends on his/her “vulnerability”, which is based on the network’s connectivity. Given a dataset with symptoms and/or signs for disorders, a network analysis can quantify all relevant nodes and interactions, including the frequency and co-occurrence of symptoms, the strength and number of their associations, and the centrality of each symptom (i.e., the sum of the interactions with other nodes). Empirical work using network analysis potentially provides rigorous accounts of vulnerability to and evolution of mental disorders.

A number of other novel statistical approaches have also been put forward as potentially facilitating paradigm shifts in psychiatry. Psychiatry has long relied on linear models to explore associations and develop theories of risk and resilience for mental disorders. However, causal infer-

ence methods have now been developed in statistics, and provide new approaches to delineating causal relationships⁷⁹. In genetics, Mendelian randomization provides an innovative method for addressing the causal relationships of different phenotypes, and has increasingly been employed in psychiatric research⁸⁰. Neural networks and deep learning have played a key role in advancing artificial intelligence, and are increasingly being applied to the investigation of psychiatric disorders, including prediction of treatment outcomes^{81–84}. While many view such techniques as allowing iterative advances, some are persuaded that they allow an entirely novel perspective and so constitute a paradigm shift in the field⁸⁵.

Work on the HiTOP and network analysis has been important and useful in a number of respects. First, unbiased data-driven approaches have an important role in strengthening the relevant science, whether of nosology, or of areas such as genetics. A focus on fear-related anxiety disorders, for example, offers interesting avenues for research, both from a neuroscience and a therapeutic perspective, and network analysis has contributed insights into the presentation of some disorders⁴⁸. Second, some dimensional constructs, including those of

internalizing and externalizing disorders, have clinical utility. The “distress” subfactor reflects the notable overlap between depressive and anxious symptoms, and the association between symptoms from two different disorders (e.g., major depressive disorder and generalized anxiety disorder) may be stronger than associations “within” each disorder⁸⁶. Third, the use of novel statistical methods to draw causal inferences has provided important insights into risk for and resilience to mental disorders⁵⁹. For instance, network analysis offers a nuanced foundation for targeted treatment of the core symptoms of some mental disorders (e.g., reframing specific automatic thoughts through cognitive-behavioral interventions).

At the same time, such approaches have important limitations. Notably, categorical and dimensional approaches are interchangeable: any dimension can be converted into a category, and any category can be converted into a dimension⁸⁷. There is no reason to conceptualize mental disorders as exclusively dimensional. In physics, matter itself is sometimes better conceived in terms of waves (a dimensional concept) and other times in terms of particles (a categorical one). Similarly, in psychiatry, a pluralist approach that allows the employ-

ment of a range of different dichotomous and continuous constructs seems appropriate^{88,89}.

Remarkably, the HiTOP employs DSM terminology at the disorder level. “Number-driven” psychopathologies and their resulting nosologies may not necessarily lead to a shift in constructs grounded in long-standing clinical practice and research. In the same vein, network analysis offers a useful model to understand the distribution of symptoms, identify therapeutic targets, and explain the effectiveness of symptomatic interventions. However, network analysis does not specify the particular levels of explanation that underlie a network structure; so, while it may be a useful organizing framework, it is unclear that it will provide novel insights into underlying etiological mechanisms.

Consider a set of patients presenting with the following symptoms, among others: headaches, vomiting and seizures. A factor analysis may point towards a latent factor explaining the covariation among them. Any clinician will know that, unless the cause is substance-related, the first thing to rule out in these patients is a space-occupying lesion in the brain, and that this unobserved element is only an intermediary that can itself be caused by multiple disease entities, most notably hemorrhage, infection and cancer. The fact that a latent factor may explain the covariation between anxious and depressive symptoms does not exclude that these symptoms are in fact caused by very different dysfunctions (upstream of the latent factor), and that other accompanying symptoms will hold the clue to the ultimate cause (just as high blood pressure, fever or weight loss would hold clues for a space-occupying lesion syndrome).

Relatedly, consider the focus of the HiTOP on a general psychopathology factor “p”. This focus can be countered by a *reductio ad absurdum* argument suggesting that a latent factor “i” explains the covariation of any and all human illnesses. Given some datasets, we may find that the covariation of nausea, hemoptysis, jaundice and myocardial infarction is explained by a latent dimensional trait. We may choose to call this “sybaritism”, dimensionally distrib-

uted between one extreme (temperance) and another (debauchery). Readers who focus on values-based medicine might well criticize the choice of words here, while those focused on evidence-based medicine are unlikely to be persuaded that an approach that elides disease entities will advance studies of psychiatry, gastroenterology and cardiology²⁹.

In a latent class analysis of depressive and anxious syndromes, Eaton et al⁹⁰ proposed an approach called “guided empiricism”, whereby they explicitly imposed a theory-driven structure on various statistical models, compared them, and obtained the best empirical fit. Perhaps using such explicitly theory-driven constraints is preferable to accepting hidden theoretical constructs. For example, rather than assuming that all the DSM depressive and some anxiety/stress related disorders are explained by a latent factor called “distress”, itself under a spectrum called “internalizing disorders”, a theory-grounded structure can be imposed on the models to try to identify what is driving the overlap. Indeed, it should be emphasized that purportedly “number-driven” nosologies all have built-in qualitative components: from the questions in the scales used to measure traits, to the labels chosen for the latent factors, these classifications are theory-laden.

In summary, the solution to nosologic challenges in psychiatry may not reside in the building of new nosologies or psychopathologies from scratch⁹¹, nor in the banishment of the “disease entity” concept, but rather in continuing the humble, laborious, iterative work of systematic clinical observation, painstaking research, and creative thinking, while purposefully comparing dimensional, categorical and hybrid models applied to the same datasets. The claim that a “quantitative” nosology is somehow “atheoretical” raises a red flag: where theory is seemingly absent, it is often hidden. Instead, we need thoughtful and explicit combinations of theories grounded on clinical practice and confirmatory quantitative evidence. Hypothesis formulation is a qualitative, creative, theory-laden endeavour, while quantitative research helps us discard false theories and refine what we know (by proving hypotheses wrong or quantifying associations).

Similarly, etiological and treatment challenges in psychiatry are unlikely to be addressed merely by the employment of larger and larger datasets, using more and more sophisticated statistical methods. Certainly, big data consortia and sophisticated statistical analyses have yielded valuable insights into the nature of psychiatric disorders. However, it is important to recognize the limitations of any empirical dataset and any analytic method, as well as the value of a wide range of complementary research designs and statistical approaches – including the age-old single-case study, which may sometimes provide clinical insights that outweigh those from big data analyses⁹².

Indeed, the claim that a new statistical, bioinformatic or computational method will provide entirely novel insights that enable a paradigm shift in psychiatry should again raise a red flag. Furthermore, where solutions reside within a black box, there is ongoing uncertainty about the extent to which they will be able to provide clinically useful assistance^{93,94}. Thoughtful and explicit combinations of existing and novel research designs and statistical methods should be employed, with the aim of achieving iterative and integrative progress in our diagnosis and treatment of psychiatric disorders.

DEINSTITUTIONALIZATION AND COMMUNITY MENTAL HEALTH CARE

The last 70 years have seen a seismic shift in models of mental health care delivery around the world. The first half of the 20th century was dominated by the growth of psychiatric hospitals, particularly in high-income Western countries. By 1955, there were 558,239 severely mentally ill people living in psychiatric hospitals in the US, with a total population of 164 million at the time⁹⁵. In the years that followed, there was a significant reduction in psychiatric hospital bed numbers in many high-income countries, as part of a trend that came to be known as deinstitutionalization. In the UK, the US, Australia, New Zealand and countries in Western Europe, there was an 80-90% reduction in psychiatric hospital beds between the mid-1950s

and the 1990s⁹⁶.

Deinstitutionalization refers to the downscaling of large psychiatric institutions and the transition of patients into community-based care. This is said to include three components: the discharge of people residing in psychiatric hospitals to care in the community, the diversion of new admissions to alternative facilities, and the development of new community-based specialized services for those in need⁹⁷. More recently, a focus in community-based care has also been the development of models for integrating mental health into primary care, as well as of shared decision-making and recovery approaches⁹⁸. To the extent that these models propose new ways of addressing mental illness, as well as extensive scale-up of community-based services, many would argue that they constitute a crucial paradigm shift.

Deinstitutionalization was driven by three main forces. First, the introduction of new medications made it increasingly possible for people with severe and enduring mental disorders such as schizophrenia and bipolar disorder to live reasonably well in community settings. Second, the mushrooming of psychiatric hospitals had come with high costs, and deinstitutionalization was seen by many governments as a cost-saving strategy. Third, the growth of the human rights movement in the 1950s and 1960s generated increasing public concern about practices in psychiatric institutions, including involuntary care. Films such as *One Flew over the Cuckoo's Nest* drew public attention to the conditions in those facilities and provided support to the idea that people living with mental disorders should have a choice over the nature and locus of their care. This trend was reinforced by research demonstrating that community-based models of care, including for people with severe mental disorders, could be delivered effectively, in a manner that was more acceptable to service users, and in some cases less costly⁹⁷.

However, in many regions of the world, these developments have not actually occurred. Particularly in many post-colonial low-income countries, for example in sub-Saharan Africa and South Asia, large psychiatric hospitals have been left behind

by departing administrations, and have remained the main locus of care. In these countries, there has been little substantial deinstitutionalization, and very limited scaling up of community-based and primary care mental health services²². In low-income countries, there were 0.02 psychiatric beds per 100,000 population in 2001, and this increased to 1.9 beds per 100,000 population in 2020.

The success of deinstitutionalization programmes in transitioning to community-based care has been highly varied around the world. In some countries, such as Italy, legislation has mandated the establishment of community-based services, and consequently these services have been widely implemented, although with substantial variation across the country⁹⁹. In many other countries, funding did not follow people who were discharged from psychiatric hospitals into community settings. For example, in many parts of the US, deinstitutionalization has been associated with a burgeoning population of homeless mentally ill and mentally ill prisoners⁹⁵.

In Central and Eastern Europe, even with recent reforms, studies have criticized the uneven pace of deinstitutionalization, the lack of investment in community-based care, and the “reinstitutionalization” of many people with severe mental illness or intellectual disability¹⁰⁰. In a tragic case in South Africa, deinstitutionalization of 2,000 people with severe mental illness or intellectual disability from the Life Esidimeni facility into unlicensed and unregulated community organizations led to the death of over 140 people, sparking a public outcry and a national enquiry by the Human Rights Commission¹⁰¹.

Importantly, deinstitutionalization has been associated with “revolving door” patterns of care, in which people are discharged from hospital after admission for an acute episode, but do not have adequate care and support in the community, and therefore relapse and need to be readmitted. Indeed, readmission rates have been an important indicator for service managers to monitor in the post-deinstitutionalization era, and the focus of several intervention studies¹⁰².

The WHO has advocated for the development of community-based services for

mental disorders for many decades. In the early 2000s, it produced a set of guidelines for countries to develop national mental health policies, plans and services¹⁰³. This included the now widely cited “optimal mix of services” to guide countries on how to balance hospital- and community-based care. This model proposed a pyramid structure, in which specialist psychiatric inpatient care represents only a small proportion of services at the apex of the pyramid, and is supported by psychiatric services in general hospitals, specialist community outreach, primary care services, and self-care at the base of the pyramid. Others have developed similar “balanced care” models¹⁰⁴.

The 21st century has also seen the development of models for integrating mental health into primary care, such as collaborative care models¹⁰⁵. These latter initially focused on managing people with comorbid depression and other chronic diseases. Subsequently this work has been expanded to include other mental disorders, through models in which a mental health specialist provides support to non-specialist health care providers, who are the main point of contact for people needing care. The WHO has endorsed this approach, particularly through its flagship mhGAP programme, which provides clinical guidelines for the delivery of mental health care through non-specialist health care platforms in primary care and general hospital settings¹⁰⁶. The mhGAP Intervention Guide has now been implemented in over 100 countries.

In parallel, the latter part of the 20th century and early 21st century have seen the rapid development of shared decision-making and recovery approaches to mental health care. Shared decision-making involves clinicians and people with mental disorders working together to make decisions, particularly about care needs, in a collaborative, mutually respectful manner⁹⁸. This approach is consistent with an emphasis on human rights, as well as on the importance of patients' lived experience, explanatory models and specific values, and clearly deserves support^{107,108}. Recovery models have challenged traditional roles of “patients” to reframe recovery as a way of living a satisfying, hopeful life that makes a contribution even within

the limitations of illness¹⁰⁹. The recovery movement has been highly influential, is now incorporated into mental health policies, and has shaped the design of mental health systems in several countries¹⁰⁹.

Yet, despite the strong scientific and ethical principles supporting community-based care, collaborative care and moves towards shared decision-making and recovery approaches, there remain major challenges, and the proposed paradigm shift remains to a large extent aspirational. While community care models have been developed, tested and shown to be effective in landmark studies, there are few cases of countries systematically investing in these models at scale, in a manner that substantially influences the mental health of populations. In addition, although there are apparent advantages to approaches such as shared decision-making, a wide range of barriers across individual, organizational and system levels have been reported¹¹⁰, and implementation remains limited in mental health care⁹⁸.

Indeed, it has been noticed that the agreement about the concept of shared decision-making among stakeholders is only superficial⁹⁸. After all, clinicians may not support this approach if it leads to patients being more empowered, but less adherent to treatment recommendations. This example raises broader questions about community-based care models: is the failure to systematically scale up these models just due to a lack of political will and related scarcity of resources, or are there fundamental concerns with the model? Our view is that both of these may be true.

There is certainly a lack of political will and investment. Despite the courageous campaigning by people with lived experience for their rights to make decisions about their care, together with the robust evidence of improved outcomes associated with community-based collaborative care models, governments often remain indifferent¹. In 2020, 70% of total government expenditure on mental health in middle-income countries was allocated to mental hospitals, compared to 35% in high-income countries²². These differences need to be viewed in the context of massive global inequities in governments' commitments to mental health more broadly.

While high-income countries spend US\$ 52.7 per capita on mental health, low-income countries spend US\$ 0.08 per capita²².

On the other hand, it may also be the case that community-based care does not go far enough in addressing the social determinants of mental health. While many community-based care models focus on individuals with a mental disorder and their immediate family, very few address the fundamental structural drivers of mental illness in populations, such as inequality, poverty, food insecurity, violence, and hazardous living conditions^{111,112}. Successful community-based mental health services arguably require the existence of viable communities.

The strategy of deinstitutionalization was founded on the premise that communities can provide a safe, supportive environment in which people with severe mental illness can thrive. In countries marked by high levels of poverty, inequality, civil conflict and domestic violence, this is certainly not the case. Advocating for community-based care requires addressing the fundamental social injustices which precipitate and sustain mental illness in populations.

Furthermore, community-based service planners may have not gone far enough in considering demand-side drivers of mental health care. For example, in many low- and middle-income countries, traditional and faith-based healers continue to be major providers of care for people with severe mental disorders, due to the scarcity of mainstream mental health professionals, and shared beliefs about the causes and treatments of such conditions.

The effectiveness and cost-effectiveness of collaborative shared care models with traditional and faith-based healers has been documented in Ghana and Nigeria¹¹³. Similarly, the possibility of addressing demand-side barriers by implementing a community informant detection tool, based on local idioms of distress and vignettes to identify people with various mental health conditions, has been demonstrated in Nepal¹¹⁴. These innovations from low- and middle-income countries provide potential lessons for high-income countries in developing collaborative care

models that are aligned with the belief systems of mental health care users and address demand-side barriers to care.

In summary, despite the development of community-based services, collaborative care, shared decision-making and recovery models, a paradigm shift towards the implementation of well-functioning and effective community mental health care around the globe has not occurred. A red flag should be raised when plans for community-based services are under-resourced (for example, not providing sufficient human resources to do the work), or are over-optimistic about implementation (for example, overlooking important barriers to shared decision-making)¹¹⁵.

Nevertheless, community-based models have many strengths, and should be incorporated into attempts to iteratively improve clinical practices and society responses to mental disorder. Indeed, it has been argued that the shift to community-based services has not been a sudden change, but rather the culmination of a slow, gradual, evolutionary development, which has old historical roots and will hopefully continue over time¹¹⁶. Efforts to strengthen community-based approaches around the world are needed to consolidate and extend the advances that have been achieved.

Taken together, the slow transition from institutional to community-based mental health care is partly attributable to the failure of governments in low-, middle- and high-income countries to adequately invest in such care – to mandate the funding to follow people with mental disorders into their communities and provide them with the support and choices they need to live productive meaningful lives – and strategies are needed to persuade them to do so. But, perhaps to an equally important degree, there are shortcomings in models of community care, with unrealistic expectations of a dramatic paradigm shift.

CBT AND THE SCALE-UP OF EVIDENCE-BASED PSYCHOTHERAPY

Since its development in the 1970s, cognitive behavioral therapy (CBT) has been

at the core of an important shift in clinical practice towards the use of evidence-based psychotherapies. Hundreds of randomized controlled trials have examined the effects of CBT for a wide range of mental disorders, including depression, anxiety disorders, substance use disorders, bipolar disorder, psychotic disorders, somatoform disorders, eating disorders, personality disorders, and also other conditions, such as anger and aggression, chronic pain, and fatigue¹¹⁷. CBT has also been tested across age groups and specific target groups, such as women with perinatal conditions and people with general medical disorders¹¹⁷.

Several other types of psychotherapy have also been rigorously investigated, and even psychotherapies that had not traditionally been explored using randomized controlled trials, such as psychoanalytically oriented therapies and experiential therapies, have now also been tested using such methods¹¹⁸⁻¹²⁰. Nevertheless, CBT is by far the best examined type of psychotherapy and therefore dominates the transition of the field towards the use of evidence-based psychotherapies¹²¹.

CBT is highly consistent with a neurobiological model of mental disorders, insofar as it focuses on symptom reduction, improvement in functioning, and remission of the disorder. Furthermore, the literature on the neurobiological bases of behavioral and cognitive interventions has become increasingly sophisticated^{122,123}, and a more recent literature on process-based CBT aligns well with the focus of RDoC on transdiagnostic mechanisms¹²⁴. CBT can therefore be readily combined with neurobiologically oriented approaches, especially pharmacotherapy.

However, despite the strength of the evidence and its compatibility with other evidence-based interventions, CBT has not been integrated into mental health systems globally. In many countries, it is still often seen as a reductionist approach that does not tackle the real underlying problems. Psychoanalytic approaches remain dominant, for example, in France and in Latin America¹²⁵.

In low- and middle-income countries, psychotherapies in general are often not available for people suffering from men-

tal disorders, due to lack of resources and trained clinicians. Even in high-income countries such as the US, the uptake of psychotherapies has declined since the 1990s²⁰, while the use of antidepressant medication has increased considerably¹²⁶, despite the fact that most patients prefer psychotherapy over pharmacotherapy¹²⁷.

In most treatment guidelines, CBT is recommended as a first-line treatment for several mental disorders. However, the actual implementation of such guidelines in routine care has been consistently shown to be suboptimal¹²⁸⁻¹³⁰. In addition, when CBT is employed, it is unclear whether therapists actually use it as detailed in standardized treatment protocols, or whether they combine it with other approaches.

The Increasing Access to Psychological Therapies (IAPT) program in the UK represents the most ambitious attempt to address the barriers faced by evidence-based psychotherapy, with scaling up of CBT across an entire country. The main goal of the program was to massively increase accessibility to evidence-based psychotherapies for individuals suffering from common mental disorders, such as depression and anxiety disorders.

An important argument for massively scaling up evidence-based therapies was economic. Depression and anxiety disorders often start during the working age, and therefore the economic costs associated with them are large, due to production losses and costs of welfare benefits. If these conditions are treated timeously, costs of treatment are balanced by increased productivity and reduced welfare costs¹³¹. A global return on investment analysis confirmed this assumption cross-nationally, indicating that every invested US dollar would result in a benefit of 2.3 to 3 dollars when only economic costs are considered, and 3.3 to 5.7 dollars when the value of health returns is included¹³². Hence, the hope was that IAPT would pay for itself.

The IAPT model has a number of key features¹³³. First, patients can be referred by a general practitioner or another health professional, but can also be self-referred. People with depression, generalized anxiety disorder, mixed anxiety/depression, social anxiety disorder, post-traumatic stress disorder (PTSD), panic disorder, agoraphobia,

obsessive-compulsive disorder, and health anxiety receive a person-centered assessment that identifies the key problems, and an agreed-upon course of treatment is defined¹³¹.

Second, IAPT works according to a stepped-care model. Patients are first treated with an evidence-based low-intensity intervention, typically a self-help intervention based on CBT. Only if this is not appropriate or patients do not recover, they receive a high-intensity psychological treatment. Low-intensity therapies are delivered by "psychological well-being practitioners" who are trained to deliver guided self-help interventions, either digitally, by telephone, or face to face. High-intensity therapies are delivered by therapists who are fully trained in CBT or other evidence-based interventions.

Third, the therapies offered by IAPT are those recommended by the UK National Institute for Health and Care Excellence (NICE). When the NICE recommends different therapies for a mental disorder, patients are offered a choice of which therapy they prefer. This means that IAPT does not only deliver CBT, although a recurring criticism has been that the program is overly focused on that type of psychotherapy.

Fourth, outcome data are routinely collected in IAPT. Patients are asked to fill in various validated questionnaires before each session, so that clinicians can review the outcomes and use them in treatment planning.

Between April 1, 2019 and March 31, 2020, 1.69 million patients were referred to IAPT, of whom 1.17 million started treatment, with 606 thousand completing treatment, and 51% of them reporting recovery. The proportion of those recovered, however, is substantially lower (26%) when it is calculated based on those who started treatment (assuming that dropouts did not recover), and it has been argued that IAPT outcomes have been reported in an overly positive way^{134,135}.

An important issue is that the outcomes vary considerably across IAPT services. In 2015/2016, the lowest recovery rate was 21% and the highest was 63%. There is some evidence that recovery rates are higher with an increasing number of sessions and more patients stepping up to more in-

tensive therapy¹³⁶. Other variables that are associated with better outcomes include shorter waiting times, lower number of missed appointments, and a greater proportion of patients who go on with treatment after assessment¹³⁷.

A recent systematic review and meta-analysis of the IAPT program identified 60 open studies, of which 47 could be used to pool pre-post outcome data¹³⁸. Large pre-post treatment effect sizes were found for depression ($d=0.87$, 95% CI: 0.78-0.96) and anxiety ($d=0.88$, 95% CI: 0.79-0.97), and a moderate effect for functional impairment ($d=0.55$, 95% CI: 0.48-0.61).

The IAPT program arguably represents the state-of-the-art for implementation of evidence-based psychotherapy in routine clinical care. Indeed, it has served as a model for the development of similar programs in other countries¹³⁸, including Australia¹³⁹, Canada¹⁴⁰, Norway¹⁴¹, and Japan¹⁴². More broadly, IAPT indicates recognition of the importance of mental health and of the allocation of sufficient resources to treatment of mental disorders, as well as acknowledgement of the importance of psychotherapies and their role in addressing mental disorders.

There are other large scale implementation programs of CBT, especially in digital mental health care. For example, Mood GYM¹⁴³, an online CBT program for depression, had acquired over 850,000 users by 2015. Psychological task-sharing interventions developed by the WHO, especially Problem Management Plus, have been tested in several randomized trials and are now being implemented in low- and middle-income countries on a broad scale^{144,145}. However, the IAPT program is still the largest systematic implementation program of psychotherapies across the world.

Given the ambitiousness of IAPT, with extensive and rigorous roll-out across an entire country, it seems reasonable to raise the key question of whether this program has had real-world impacts, including a reduction in the disease burden of mental disorders. A first issue, however, is that comparison of IAPT with other treatment services would require a community intervention trial in which people are randomized to either IAPT or "regular" mental health care. Such a trial has not

been conducted and probably never will be. Thus, although it is possible to claim on the basis of outcome data from routine care that other services are as effective as IAPT¹⁴⁶, or that IAPT services may not provide interventions that match the level of complexity of the problems of patients¹⁴⁷, it is difficult to validate such claims.

A second issue is whether any mental health treatments, including IAPT, are truly capable of reducing the disease burden of mental disorders. A key modeling study has estimated that current treatments only reduce about 13% of the disease burden of mental disorders at a population level¹⁴⁸. In optimal conditions, in which all those with a mental disorder receive an evidence-based treatment, this percentage can be increased to 40%. So, even under optimal conditions of 100% uptake and 100% evidence-based treatments, reduction of disease burden is not expected to be more than 40%. This is true for IAPT as well as other programs disseminated on a broad scale.

The limited ability of current treatments to reduce the disease burden of mental disorders raises the so-called "treatment-prevalence paradox"¹⁴⁹. This refers to the fact that clinical treatment rates have increased in the past decades, while population prevalence rates of mental disorders have not decreased. Increased availability of treatments could shorten episodes, prevent relapses, and reduce recurrences, in turn leading to lower point prevalence estimates of depression, but this has not transpired. Most meta-analyses indicate stable prevalence rates or even small increases in prevalence, despite increased uptake of services¹⁵⁰ and the demonstrated efficacy of psychiatric treatments³¹.

There are several possible explanations for this "treatment-prevalence paradox"¹⁴⁹. First, it is possible that prevalence rates of depression have dropped, but that at the same time incidence has increased due to societal changes. Second, it is possible that prevalence rates have dropped, but that emotional distress has been more often diagnosed as a depressive disorder over the past decades, thereby masking the drop. Third, it is possible that prevalence rates have not dropped, because treatments may not be as effective as the field would like¹⁵¹. Indeed, treatment effects may be

overestimated in trials due to publication bias, selective outcome reporting, use of inappropriate control groups, or the allegiance effect. Moreover, treatments may not benefit chronic depressive patients, or treatments may have iatrogenic effects that block natural recovery and prolong depressive episodes¹⁵².

Taken together, the development of evidence-based psychotherapies has been a remarkable step forward for psychiatry, and the scale-up of such effective psychotherapies in IAPT and other large-scale implementation programs has contributed to consolidating this advancement. That said, the several criticisms of IAPT suggest that it is by no means a panacea. Instead, the implementation of evidence-based psychotherapies is arguably best conceptualized as representing incremental progress. The impact of evidence-based treatments on the disease burden of mental disorders currently appears to be modest; and the time horizons for introduction of interventions that are notably more successful is unclear.

DIGITAL PHENOTYPING AND DIGITAL THERAPIES

Rapid technological advances and the expansion of the Internet have spurred the development and widespread use of a host of digital devices with the potential to transform psychiatric research and practice¹⁵³. Indeed, the fourth industrial revolution and the nudge towards telepsychiatry by the COVID-19 pandemic have already revealed that digital technologies provide novel opportunities to improve psychiatric diagnosis, expand the delivery of mental health care, and collect large quantities of data for psychiatric research^{154,155}.

There are many examples of how these advances have enabled digital solutions in psychiatry^{156,157}. To name a few, virtual reality can facilitate exposure therapy for phobias and PTSD¹⁵⁸, chatbots can deliver remote CBT anonymously day-and-night¹⁵⁹, computer analysis of closed circuit television (CCTV) images can identify suicide attempts in progress at suicide hot-spots¹⁶⁰, voice and facial recognition

software may enhance psychiatric diagnosis^{161,162}, wearable devices may enable real-time monitoring and evaluation of patients¹⁶³, analyses of human-computer interaction may detect manic and depressive episodes in real-time¹⁶⁴, and suicide risk may be assessed by analysis of social media posts¹⁶⁵.

Furthermore, the widespread use of digital medical records, the collection of vast quantities of data from individuals via smart devices, the ability to link multiple databases, and the use of machine learning algorithms have redefined the use of big data in psychiatry with the promise of overcoming the failures of conventional statistical methods and small samples to capture the underlying heterogeneity of psychiatric phenotypes⁸¹⁻⁸³. The ability to access, store and manipulate data, together with the use of machine learning algorithms, promises to advance the practice of individualized medicine in psychiatry by allowing matching of patients with the most appropriate therapies⁸¹⁻⁸³.

Smartphone use is now ubiquitous even in remote and resource-constrained environments across the globe¹⁶⁶, making these devices a powerful medium to improve access to psychiatric care¹⁶⁷. Smartphones are already being used to deliver interventions for common mental disorders¹⁶⁸⁻¹⁷¹, and more than 10,000 mental health apps are available in the commercial marketplace¹⁷². There is considerable potential to turn smartphones into cost-effective and cost-efficient treatment portals by literally placing mental health interventions in the hands of the 6,378 billion people who own these devices (i.e., 87% of the world's population), many of whom do not currently have access to mental health care.

As communication devices, smartphones can be used to facilitate peer support, deliver personalized messages, provide access to psychoeducational resources, and facilitate timely referrals to appropriate in-person clinical care¹⁵³. The communication capabilities of smartphones have enabled the expansion of telepsychiatry via high-quality low-cost voice and video calls¹⁷³, with evidence indicating that the use of video conferencing is not inferior to in-person psychiatric consultations¹⁷⁴.

Because smartphones are equipped with

a range of sensors and the ability to store and upload data, they can be easily used to collect real-time active data (i.e., data which the user deliberately and actively provides in response to prompts). Active data collected via smartphones are already being used in psychiatry for ecological momentary assessments, cognitive assessments, diagnosis, symptom monitoring, and relapse prevention^{175,176}. Beyond these clinical applications, smartphones are also powerful tools for data collection in psychiatric research^{177,178}.

Digital devices, including smartphones and wearables, can also collect and store a host of passive data (that is, data generated as a by-product of using the device for everyday tasks, without the active participation of the user) with near zero marginal costs. These passive data have been likened to fingerprints or digital footprints. They provide objective continuous longitudinal measures of individuals' moment-to-moment behavior in their natural environments and could be used to develop precise and temporally dynamic markers of psychiatric illness, a practice known as digital phenotyping^{155,179}.

If digital phenotyping delivers on its promises, it will enable continuous inexpensive surveillance of mental disorders in large populations, early identification of at-risk individuals who can then be nudged to access psychiatric treatment, and early identification of treatment failure to prompt timely individualized treatment decisions¹⁸⁰. These potential applications are important, given the dearth of accurate real-time psychiatric surveillance systems in many parts of the world, individuals' reluctance to seek treatment at the early stages of psychiatric illness, and the high rates of treatment failure which necessitate timely adjustments to management.

Identifying digital markers for mental disorders is, however, not without potential pitfalls, that will need to be mapped and navigated before digital phenotyping can realize its full potential. There are still unanswered questions about the sensitivity, reliability and validity of smartphone sensors for health monitoring and diagnosis¹⁸¹. Furthermore, there appears to be a bias in measurement of everyday activities from smartphone sensors, because of

variations in how people use their devices¹⁸². It still remains to be seen if actuarial models developed from population level digital footprints are clinically useful at the level of individual patients, as well as how digital phenotyping can be meaningfully integrated into routine clinical practice, and how patients will respond to and accept passive monitoring of their day-to-day activities^{180,183}.

Digital solutions are not without shortcomings, and a digital intervention is not necessarily better than no intervention¹⁸⁴⁻¹⁸⁶. Reviews of the quality and efficacy of mental health apps indicate that there is often little evidence to support the effectiveness of direct-to-consumer apps¹⁸⁴⁻¹⁸⁶. Even when mental health apps seem to be useful, data indicate that many of them suffer from high rates of attrition and are not used long enough or consistently enough to be effective¹⁸⁷.

Concerns about data privacy and security are a significant obstacle to expanding the use of digital technologies in psychiatric practice and research^{188,189}. Psychiatry is often concerned with deeply personal, sensitive, and potentially embarrassing information, that requires secure data storage and stringent privacy safeguards. The risks associated with collecting and storing digital mental health information need to be clearly articulated in terms that patients understand, so that they can provide informed consent. Privacy policies in digital solutions such as smartphone apps are unfortunately often written in inaccessible language and "legalese", making them incomprehensible to many users¹⁸⁹, and there is as yet insufficient regulation of mental health apps and no minimum safety standards¹⁸⁸.

While digital technology use has increased across the globe, there are ongoing inequalities in the access to these technologies within and between countries¹⁶⁶. The rapid digitalization of psychiatry may unintentionally exacerbate health inequalities if digital mental health solutions cannot be shared¹⁹⁰. Psychiatry will need to grapple with thorny questions about how to share digital technologies with those most in need of access to mental health care, and how to develop digital solutions for culturally diverse resource-constrained environments.

High data costs, unstable Internet connections, and bandwidth limitations can create logistical constraints on the utilization of digital mental health solutions in low-income countries¹⁹¹.

The development of digital mental health solutions has typically been driven by the information technology industry and commercial interests¹⁷². On the other hand, the demand for mental health apps has been largely driven by consumers through social media, personal searches, and word of mouth, rather than professional recommendations¹⁹². Commercialization of health care and the repositioning of patients as customers has certainly created some efficiencies in health care delivery¹⁹³. However, the profit motive is not always aligned with good patient care, as illustrated by the recent opioid crisis¹⁹⁴.

Ensuring that clinicians are part of the process of digitalization of psychiatry will entail training them to understand, use and develop digital technologies; establishing ethical guidelines for the use of these technologies; ensuring independent evaluation of the effectiveness of digital interventions by researchers who have no commercial interest in the products; and protecting patient safety by ensuring that the claims made about the benefits of digital solutions are supported by robust evidence.

Emerging evidence suggests that screen time may be associated with mental health problems, although most of the work in this area focuses on children and adolescents¹⁹⁵⁻¹⁹⁷. While research is mostly cross-sectional, there are a small number of longitudinal studies showing that screen time has small to very small effects on subsequent depressive symptoms, and that these associations depend on device type and use^{198,199}. If screen time is bad for mental health, would it be wise to promote the use of digital mental health interventions that entail more time online or in front of a screen? This is not an easy question to answer, and the answer is likely not a simple yes or no.

The challenge is to think about how digitizing psychiatry can be balanced with a careful understanding of the potential for digital devices to harm mental health. Few interventions in psychiatry are without potential side effects, and it would be

naïve to think that digital ones are different. As with any psychiatric treatment, the prescription of digital interventions needs to be accompanied with consideration of the contraindications, advice about how to use the intervention to its maximum benefit, and warnings about potential side effects and how to manage them. To enable this we require data, which we do not yet have, about the contraindications and side effects of digital interventions¹⁸⁸.

We already have evidence to show that digital technologies can be at least as effective as traditional practices in making a psychiatric diagnosis, identifying appropriate individualized interventions, and teaching psychological skills such as mindfulness and attentional training^{180,200,201}. Yet, most clinicians would likely agree that psychiatric practice is fundamentally relational and that most mental illnesses have an interpersonal dimension. The increasing use of technology in psychiatry will change the relationship between physician and patient in ways that we probably do not yet understand and cannot anticipate.

How technology is utilized in psychiatry will be a function of how central we think relationships are in diagnosis and treatment, and whether or not we see digital technologies as primarily a tool to enhance the therapeutic relationship, or simply a conduit to deliver content or collect and process information²⁰². Theories will need to be developed to conceptualize and understand the digital therapeutic relationship, while we hold in mind the potential to harness technology to deepen the relationship between clinicians and patients. Indeed, evidence suggests that digital interventions are most effective when they have at least some person-to-person interaction^{179,200}.

Digital technologies may change the way psychiatry is practiced, but to date much of the research in this area has been experimental, with proof-of-concept and clinical trials in highly controlled settings using very small samples¹⁷². The translational potential of these technologies has not yet been realized, and we still have some way to go to bring digital advances in mental health “from code to clinic”¹⁷². There are relatively few examples of digital technologies other than teleconferenc-

ing being used routinely in everyday real-world psychiatric practice, and there is an urgent need for pragmatic trials and translational research to understand the barriers to adoption and implementation of new technologies²⁰³. The attitudes of clinicians and patients towards digital solutions in psychiatry and their perceptions of the effectiveness and safety of these devices are important determinants of how widely new technologies will be adopted.

Taken together, the science is still too young to let us know the extent to which the introduction of digital technologies will truly constitute a paradigm shift in psychiatric diagnosis and treatment, and whether these technologies will deliver on their promise to reduce the burden of disease caused by mental disorders. The available evidence gives cause for optimism and suggests that these technologies could assist in iteratively progressing the science and practice of psychiatry. However, there are many red flags when it comes to digital psychiatry, including overpromising with regards to efficacy and overlooking the human relationship. In order for iterative progress to happen, we will need continuous critical reflection, with an ongoing emphasis on equitable access, appropriate regulation, and quality assurance²⁰⁴.

GLOBAL MENTAL HEALTH AND TASK-SHARING

The concept of global health emerged in the aftermath of World War II, when cross-national organizations were needed to coordinate health efforts, particularly against infectious diseases²⁰⁵. The WHO was established in 1948, and became a key advocate for global health, exemplifying the key pillars of this approach, including the recognition that health is a public good requiring support from all sectors of the governments, that health involves a continuum ranging from wellness to illness, and that the determinants of health are biological, sociocultural and environmental²⁰⁶. Global health saw the protection of human rights as a central concern of all action concerning health, and expected that action to improve health in-

cludes the formulation of working policies addressing upstream social determinants of health, and a strengthening of health services²⁰⁷.

With growing recognition of the burden of non-communicable diseases, including mental, neurological and substance use disorders, global mental health became an important focus. B. Chisholm, a psychiatrist who was the first WHO Director General, introduced the mantra “No health without mental health”²⁰⁸. An early 4x4 model of non-communicable diseases emphasized the comorbidity of cardiovascular diseases, diabetes, cancer and respiratory diseases with tobacco use, unhealthy diet, physical inactivity and harmful alcohol use as risk factors for these conditions. A later 5x5 approach has emphasized that these non-communicable diseases are commonly comorbid with mental disorders, and that childhood adversity is an important common risk factor²⁰⁹.

Over the past several decades, global mental health has become a significant discipline, with specific departments established at several leading universities, textbooks and journals devoted to the subject, and significant support for research obtained from funders²¹⁰. In addition to a focus on mental health as a public good and human right, on mental health as entailing a continuum and a life course approach, on the importance of social determinants of mental health, and on the need of strengthening mental health services, work in global mental health has emphasized the efficacy of task-shifting interventions, the importance of addressing stigma, and the value of including service users’ perspectives in research and planning^{1,2}.

Early work by the WHO, and subsequent work by others in global mental health, has led to important contributions. A first key contribution has been the recognition of the burden of mental disorders, and advocacy that this burden needs to be urgently and appropriately addressed. There are far too few mental health clinicians in low- and middle-income countries, where the vast majority of the world’s population resides²².

A second key contribution has been a focus on addressing mental health in pri-

mary care. In the 1970s, the WHO conducted a multinational collaborative study demonstrating the feasibility and effectiveness of offering community-based mental health care, delivered by primary health care workers, in developing countries²¹¹. A few years later, in 1978, the Primary Health Care Conference in Alma Ata, composed of representatives of almost all countries in the world, included the promotion of mental health into the list of essential components of primary health care.

Nevertheless, global health in general and global mental health in particular have faced many challenges. Early hopes were that globalization would entail a border-free world with easy communication, trade, and mutual support. However, globalization has also arguably allowed unidirectional unloading of products of the North to the less industrially developed South, and a simultaneous migration of many individuals, including health professionals, from the global South to the North. Colonial practices, including large psychiatric hospitals, have remained in existence in many low-income countries. Rapid urbanization and breakdown of traditional communities, which provided some support to vulnerable individuals, have further complicated the provision of health care. The introduction of digital technologies – which has been considered as a potential equalizer – also runs the risk of creating a new divide, the digital divide.

In terms of the clinical practice of psychiatry, while the numbers of psychiatrists and other mental health care workers has significantly increased across the globe, their inequitable distribution has not significantly improved²². There are still many countries with only a few psychiatrists, and the brain drain – the movement of fully trained psychiatrists from the global South to the North – continues²¹². Training programs which can be used for primary health care providers in mental health have been produced by the WHO and other agencies, and the situation has improved in some countries, but the numbers of those left with no adequate care remain high. Primary care practitioners are not always willing to accept responsibility for the treatment of mental disorders, and many well-trained psychiatrists have continued to work in pri-

vate health care services that reach only a minority of those who need help.

Earlier sections of this paper considered some of the concerns about current psychiatry nosology raised by neurobiologically-focused and “number-driven” researchers. But even from a public health perspective, application of key aspects of the chapter on mental disorders of the ICD rises problems²¹³. First, most practicing clinicians feel that in daily work the number of diagnostic categories proposed for use should follow the number of options for therapeutic interventions, and so ICD approaches may be too complex. Second, reporting about inpatient mental health services to national authorities in most instances follows the guidelines provided by hospitals, which do not allow for the collection of sufficiently detailed or validated data. The interpretation of findings may be made even more difficult by the fact that in federal countries the rules of reporting to the central authority differ from area to area.

Global mental health has been crucially important in putting forward a number of innovative models and approaches. At the same time, critics might suggest that the strategies of global mental health are not so much an entirely new paradigm but instead a re-packaging of long-standing ideas in the field, and that each of these strategies has important limitations which deserve emphasis.

First, global mental health has focused on the notion of “task-shifting”. This involves the use of non-specialized health care workers, who are trained and supervised by mental health specialists. Systematic reviews have concluded that there is now considerable evidence for the efficacy of this approach^{3,214}. Nevertheless, this strategy is not a panacea. There are limits to what can be done by untrained personnel. The treatment of more complex conditions, such as treatment-refractory mental disorders, requires well-trained clinicians. Moreover, significant supervision and monitoring may be required, and this entails human and financial resources. There is now interest in how to assess therapist competence in task-shifting trials^{215,216}. Finally, there is a difference between demonstration projects conducted by academic

researchers and real-life scale-up projects undertaken by governments. Pharmacotherapy outcomes are worse in real-world pragmatic trials than in academic-centre explanatory trials, and we might expect that the same will hold true in the case of task-shifting research.

A second important strategy of global mental health has been to build the investment case for mental health, demonstrating the return on investment for countries scaling up community-based care. As noted earlier, this gave key impetus to the implementation of psychotherapies in the UK. However, a number of challenges remain. Many economic returns accrue to sectors outside ministries of health, which traditionally hold mental health budgets. Economic returns on scaled-up mental health care are likely to accrue through improved labour market participation, reduced homelessness, and savings to correctional services and police services, and not necessarily to the health sector. Moreover, such savings might only be realized at some time in the future, creating what has been termed pernicious “diagonal accounting”²¹⁷. Finally, it must be conceded that not all investment in mental health – for example, care for those with severe neurodevelopmental disorders – will yield significant economic returns.

A third key strategy of global mental health has been to focus on building stronger, better coordinated advocacy, with partnerships between people with lived experience and clinicians to campaign for better and more resources for mental health care. It has been argued that ongoing dialogue between the various stakeholders involved in community-based care is essential to reach common ground on service development priorities. This should also include maximizing opportunities for leadership from people with lived experience, to address demand-side barriers to community-based mental health care. Nevertheless, there are key barriers to advocacy work, including low mental health literacy of policy-makers, and a gap in frameworks linking research to policy²¹⁸.

A fourth key strategy of global mental health has been to focus on stigma reduction strategies. Certainly, reducing stigma and discrimination against people living

with mental illness is vital if we are to promote care in the community. Furthermore, there is a growing evidence base for the positive impact of stigma reduction campaigns for mental health, such as the World Psychiatric Association’s “Open the Doors” program. At the same time, there are important challenges to acknowledge. Much more needs to be done to both improve the effectiveness of these interventions and extend stigma reduction programmes to a range of different countries²¹⁹. Stigma reduction strategies should not deny the dysfunction that accompanies severe mental disorders (services for such conditions remain sorely needed), and they need to also highlight that individuals suffering from psychiatric disorders have “responsibility without blame”²²⁰. Finally, it is notable that, in some contexts, providing neurobiologically focused information increases rather than decreases stigma²²¹.

A fifth key strategy of global mental health is to address social determinants of mental disorders. Governments need to address fundamental social injustice such as rampant inequality, high unemployment, civil conflict and violence, particularly gender-based violence, that drive mental disorders in populations²²². That said, the evidence base for population-level interventions to address the social determinants of mental health is rather sparse and of low quality²²³. Ironically, global mental health has been accused of ignoring key contextual data²²⁴, and of perpetuating some of the sociopolitical inequities it critiques²²⁵. Less contentiously, while some clinicians may well contribute to efforts focused on social determinants, the majority will focus on providing direct clinical care. Public mental health skills are needed to supplement, rather than replace, standard clinical training.

Taken together, it is clear that the concepts and methods of global mental health have many strengths, have contributed to important advances, and should be incorporated into further attempts to incrementally improve health policies as well as clinical practice. As always, discourse about a paradigm shift and over-optimism about the extent of envisaged change raise red flags. Indeed, the key strategies of global mental health that may facilitate ongoing

incremental progress may themselves require iterative attention: we need to continue to be innovative about task-sharing, to gradually strengthen the investment case, to steadily develop better advocacy strategies, to further reduce stigma about mental disorders and increase mental health literacy, and to better address social determinants of these conditions.

DISCUSSION

Kuhn’s notion of scientific paradigms has been extraordinarily influential²²⁶. He argued that most of science is “normal”: scientists have a particular conceptual framework, with various exemplars that are key for the field, which allows them to address a range of relatively minor “puzzles”²²⁷. However, from time to time, there is a paradigm shift, with an entirely new conceptual framework and new exemplars coming to fore and causing a “crisis”, and so entailing a major revolution in the field. Thus, for example, at one point *phlogiston* was thought to explain combustion, but this paradigm was replaced by one that emphasized the importance of oxygen, providing an entirely new perspective. Notably, from a “critical” perspective, scientific paradigms are incommensurable; those who adopt different paradigms are really talking past one another, and the shift from one paradigm to another happens not because of scientific advancement, but rather due to a sociopolitical shift in the field^{228,229}.

From this perspective, psychiatry has been characterized by a history of continual paradigm shifts, with the field lurching over time from one set of models to another, with no substantive scientific advances in our knowledge, but rather merely a responsiveness to the prevailing sociopolitical winds of the day²²⁹. Thus, as noted earlier, psychiatry has seen movements from psychodynamic approaches to neuroscientific ones, and from institutional care to community-based care. While a good deal of the critique of psychiatry has come from external fields, there is a significant contribution from within the discipline, with proponents of new paradigms at times being very critical of current practices.

The idea that psychiatry is in crisis seems to be prevalent and persistent in both the professional literature and in social media²³⁰⁻²³⁴.

We would argue strongly against this view of psychiatry. This is not to disagree that there have been important shifts in the field over its history: there certainly have been. Nor is it to disagree with the valid points that sociopolitical and sociocultural factors are key to such issues as determining budgets for mental health services, and in influencing the experience and expression of mental disorders²³⁵. Nor is to deny or downplay the many crucial challenges that continue to face psychiatry as a profession, and psychiatrists as practitioners^{236,237}. And perhaps most importantly, it is not to ignore or to minimize the enormity of the treatment and the research-practice gaps discussed in detail earlier in this paper. Clearly, considerably more needs to be done to improve mental health care services, and to effectively address the burden of disease due to mental disorder.

However, we wish to emphasize that there has been a gradual accretion of knowledge about mental disorders, and that our understanding of their causes and our ability to manage them has significantly increased over time. We also wish to argue that the different proposals for the field discussed in this paper are not necessarily incommensurable paradigms, but rather are important perspectives that can productively be drawn on and integrated into contemporary practice²³⁸. The integration of clinical neuroscience and global mental health, for example, may facilitate advances in precision public mental health²³⁹. Space precludes a detailed consideration of a range of other innovative perspectives that may also contribute to the incremental and integrative advance of psychiatric practice, including collaborative care²⁴⁰, preventive psychiatry²⁴¹, evolutionary psychiatry²⁴², positive psychiatry²⁴³, intergenerational psychiatry²⁴⁴, and welfarist psychiatry²⁴⁵.

Perhaps most importantly, we would wish to problematize the notion that psychiatry is in perennial and perpetual crisis. Tools provided by “critical” authors, who emphasize the sociopolitical aspects of science and medicine, may be in fact be

useful in investigating why psychiatry is so often viewed in this way, and why a view of psychiatry as steadily accreting knowledge and improving clinical practices is less often put forward than seems reasonable, even from within the field. Are there specific interests that stand to gain from negative views of the psychiatric profession? What are the benefits to particular authors of being overly critical of existing practices and of promising entirely novel or disruptive solutions? What can be done to encourage those without and within the field to emphasize that scientific progress is often iterative and incremental, with gradual consolidation of knowledge, with inclusion and integration of a range of different models and approaches?

We have noted in this paper a number of red flags, which seem indicative of overly optimistic promises of a paradigm shift in psychiatry practice and research, and that may inadvertently even support an anti-psychiatry position that discourages patients from seeking sorely needed professional care, or policy-makers from funding desperately needed mental health care services. A few of these red flags deserve particular emphasis here.

First, given the complexity of mental disorders, and the need to avoid both a brainless and a mindless psychiatry²⁴⁶, various forms of reductionism serve as red flags, whether these involve neuro-reductionism (e.g., mental disorders are merely brain disorders) or culturalism (e.g., mental disorders merely reflect social inequalities). As a field, we should promote the breadth and depth of psychiatric concepts and findings, emphasizing that psychiatry builds bridges across biological, psychological and social domains, and that – despite the complexity of mental disorders – this has allowed important insights into their phenomenology and etiology, and has facilitated the development of a broad range of different evidence-based treatment modalities and types of intervention. The complexity of mental disorders may, however, mean that there are few “silver bullets” in psychiatry: any individual mental health intervention may have only modest effect sizes, and reduction of disease burden due to mental disorders is a massive goal, likely requiring a broad range of interventions²⁴⁷.

Second, economic over-optimism may be a red flag: bringing new drugs to market requires significant financial investment, deinstitutionalization is not an inexpensive option, and it is a challenge to demonstrate that large-scale implementation programs such as IAPT save money. While a range of different metaphors may be useful in describing psychiatric work, and in encouraging policy-makers to fund mental health services, we need perhaps to be particularly careful of seeing patients as merely consumers, and psychiatry as simply providing a return on investment. Similarly, while a collaborative relationship between professional clinicians and patient partners may be useful in encouraging shared decision-making, this metaphor of psychiatric work and mental health services may miss some aspects of the clinical encounter. The metaphor of clinicians providing care is a crucial one, and we need to call for more such care, even if at times it is somewhat expensive¹¹⁵.

Third, calls for a radical transformation of psychiatry’s research agenda are a red flag. Hubris may result in downplaying what has already been achieved over decades, or in overly focusing on one or other favoured perspective. A more humble position that emphasizes how difficult is to know what approaches and models will lead to the largest advances, that encourages a broad range of promising work, that insists on principles of reproducible science including the common metrics agenda, and that acknowledges the key role of serendipity, is appropriate^{64,248,249}. Analogously, calls for a radical transformation or narrowing of the training curriculum also constitute a red flag: psychiatry trainees need exposure to a broad range of concepts and methods, including neuroscience, statistics, evidence-based psychotherapy, digital psychiatry, and public mental health. The field needs well-rounded graduates who are able to access and employ the full range of concepts and findings from our rich discipline.

How can we facilitate an ongoing focus on incremental advances in clinical practice, with integration of a range of different perspectives and findings? It may be useful to approach the issues discussed in this paper with a particular knowledge of how

science works, and with a particular attitude towards progress.

From the perspective of knowledge, it seems useful to emphasize that concepts of scientific crisis and paradigm shifts often serve as rhetorical devices, that in sciences ranging from physics to psychiatry multiple approaches and models are potentially useful, and that in psychiatry there is a particular need for pluralistic and pragmatic approaches that integrate a range of different concepts, methods and findings^{229,250}. From the perspective of attitude, we would emphasize the value of staying hopeful, avoiding hype, and committing to the important work of closing the treatment gap as well as the research-practice gap.

Thus, in terms used earlier in this paper, the solution to challenges in psychiatric diagnosis and treatment is unlikely to lie in entirely novel paradigms, but rather in the humble, laborious, iterative work of systematic clinical observation, painstaking research, and creative thinking. In the case of psychiatric assessment, for example, we have elsewhere argued for the need for more work on post-diagnostic assessments and measures that are consistent with measurement-based care and that promote personalized psychiatry²⁵¹⁻²⁵³. In the case of psychiatric treatment, addressing the treatment and the research-practice gaps will require more attention to expanding innovative delivery models that will reach more people in need²⁵⁴, systematic adoption and roll-out of integrated evidence-based interventions²⁵⁵, and an iterative discovery-confirmation process to assess and improve efficacy²⁵⁶.

In conclusion, this review of a range of proposed approaches to and models of diagnosis and treatment of mental disorders suggests caution in concluding that we are facing a crisis in psychiatry which necessitates a disruptive transitioning from traditional to new practices. We argue instead that an approach which emphasizes paradigm shifts should be replaced by one that focuses on the importance and value of incremental and integrative advances. In particular, we caution against an advocacy for paradigm shifts that inadvertently represents a disguised manifestation of anti-psychiatry, and we instead suggest the need

for a position that emphasizes both the accomplishments and limitations of psychiatric diagnosis and treatment, and that is cautiously optimistic about their future.

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